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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
Box Patent Application
U.S. Patent and Trademark Office
P. O. Box 1450
Alexandria, VA 22313

Date: July 7, 2003

Docket No. FIS920020038US2

NEW APPLICATION TRANSMITTAL

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Jack A. Mandelman

For: Backside Buried Strap for Soi Dram Trench Capacitor

ASSIGNEE NAME: INTERNATIONAL BUSINESS MACHINES CORPORATION

ASSIGNEE RESIDENCE: Armonk New York

Enclosed are:

☒ (10) Sheets of Drawings.

☒ An assignment of the invention to International Business Machines Corporation,
Armonk, NY 10504

☐ A certified copy of a _____ patent application number _____

☒ Declaration and Power of Attorney is attached to the application.

☐ Associate Power of Attorney.

☐ Information Disclosure Statement with form PTO-1449 with references attached.

The Filing Fee has been calculated as shown below:

For:	No. Filed	No. Extra	Rate	Fee
Basic Fee				\$750.
Total Claims	8 - 20		\$18.	0.
Indep Claims	3 - 3		\$80.	0.
			Total	750.

☒ Please charge my Deposit Account No. 09/0458 in the amount of \$750.

☒ The Commissioner is hereby authorized to charge any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account No. 09/0458.



Signature Eric W. Petraske

Reg. No: 28,459

Date 

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express mail in an envelope addressed to: Commissioner for Patents, M/S Patent Application, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA. 22313-1450 on this date.

Signature 

Date: 

Name of Person Making Deposit: Eric W. Petraske

Express Mail No 154 938622 596

Date of Deposit: 

July 7, 2003

Signature

Date

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. FIS920020038US2

First Inventor Jack A. Mandelman

Title Backside Buried Strap for SOI DRAM Trench Capacitor

Express Mail Label No.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)

(Submit an original and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.

See 37 CFR 1.27, 2.

3. ☒ Specification [Total Pages] 12

4. Drawing(s) (35 U.S.C. 113) [Total Sheets] 10

5. ☒ Oath or Declaration [Total Pages] 3

a. ☐ Newly executed (original or copy)

b. ☒ Copy from a prior application (37 CFR 1.63 (d))

(for continuation/divisional with Box 18 completed)

6. ☐ DELETION OF INVENTOR(S) i.

7. CD-ROM or CD-R in duplicate, large table or

Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission

(if applicable, all necessary)

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))

10. ☐ 37 CFR 3.73(b) statement ☐ Power of Attorney

11. English Translation Document (if applicable)

12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations

13. ☒ Preliminary Amendment

14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. ☐ Certified Copy of Priority Document(s)

16. ☐ Nonpublication request

17. ☐ Other

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76 of prior application No. 10/161,960:

Continuation ☒ Divisional ☐ Continuation-in-part (CIP) ☐

Prior application information: Examiner Thinh T. Nguyen Group Art Unit: 2818

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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Signature  Date July 7, 2003